A Face Detection and Facial Expression Recognition Method

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I would like to thank the **ICSDT-10 Organizers for the very** successful meeting, but especially I would like to thank Professors **Tsigritzis and Virvou for inviting me** to share some of my views on Face & Facial Expressions with you

Outline

Introduction

- What-why-how
- Related work
- Proposed methodology

Skin color detection

Face Detection

- FRG Segmentation
- Skin Region Synthesis
- LG Graph

Facial Expression Detection

- Applications
- Conclusions

- What-why-how
- Face detection
- Related work (a brief overview)
- Motivation / Open issues
- Proposed methodology overview

What-Why-How

What

A method for detecting and recognizing facial expressions in real environment

■ Why

Understanding Human Behavior and Emotions (HHI-HMI)

Security

Surveillance

How



Face Detection

Goal

- Detect and locate the face present in the image regardless of
 - Illuminations
 - Background
 - Occlusions
 - Facial pose, orientation and expressions

Why is face detection important?

- Primary step in
 - Face expression detection
 - Vision-based Intelligent HCI Systems
 - Secure Identification and Authentication

Appearance based approaches

Approach

- Face is recognized as a whole
- Face and non-face patterns are learned

Limitations

• Accurate in frontal images with simple background and in well-illuminations

Example - NN Face Detector [Rowley et al., 96, 98]



Template based approaches

Approach

- A standard face pattern is pre-defined .
- Use correlation to locate faces .

Limitations

Difficult to extend to various poses, shapes and scale •

Example - Active Shape / Appearance Model [Cootes & Taylor, 01]

Points





Labelled image

Shape-free patch









8 its



11 its





Converged

Original

Feature based approaches

Approach

- Detect invariant facial features
- Group features into candidate faces and verify them

Limitations

• Difficult to locate features in complex backgrounds and in various illuminations

Example - Elastic Bunch Graph [Wiskott et al., 99]



Multiple Modalities Face-Detection

.Perceptron: Better performance than single

modalities (Yacoub, et. al. IEEE T-NN, 1999)







(b)









(c)

(d)

Accept/Reject User

TABLE I Performance of Single Modalities on Test Sets

| | Conf 1 | | | Conf II | | |
|----------------|--------|------|------|---------|------|------|
| Modality | FA | FR | EER | FA | FR | EER |
| Face (EGM) | 8.08 | 8.5 | 8.4 | 7.67 | 7.25 | 7.31 |
| Voice (Spher.) | 1.6 | 5.00 | 3.25 | 5.53 | 4.25 | 4.75 |
| Voice (HMM) | 0.00 | 1.48 | 0.75 | - | - | - |

Open issues

Current face detection methods [FRVT 2003]

- Low performance
 - Outdoor images with uncontrolled illuminations
 - Complex backgrounds
 - Non-frontal views
- Realistic, unavoidable conditions!
- * Need a robust method to satisfactorily detect faces in
 - * varying illuminations
 - * complex backgrounds

The Local-Global Graph Approach

Overview

- Skin detection
- L-G graph Face detection
- L-G graph Expression detection



ANN method for skin-color adaptation

Skin detection results

Skin-color

Skin-color

- Robust against rotations, scaling and partial occlusions
- Challenges ethnicity, Illuminations, background, makeup, motion

Effect of Illumination

- Skin-color varies significantly with different illuminations
- Humans can dynamically adapt to these illumination changes color constancy



Skin color clusters in normalized rg space

Skin detection approach

Overview [Kakumanu-Bourbakis, ICTAI-04]

- Apply color correction
- Estimate the illuminant
 - Train a neural network to estimate the skin color illuminant
 - Apply color correction in LMS cone space
- Detect skin as the achromatic region



Skin detection overview

ANN for skin color adaptation

Multi-layer perceptron network

- Three layered 1600 * 48 * 8 * 2 [Cardei, 00]
- Input
 - Input rg space is divided into 40 * 40 discrete bins
 - Input to the neuron is 1 or 0
- Output
 - Expected <u>rg</u> chromaticity of the image illuminant



Skin detection results























Images from UCD Database [Sharma & Reilly, 2003] + Images collected at WSU



L-G Graph Face Detection

- **FRG Segmentation (**smoothing, edge detection segmentation)
- Facial feature region representation
- Region synthesis
- Face LG Graph
- LG Graph matching
- Face detection results

- Smoothing is usually considered as an important preprocessing step for a segmentation operation that allows a reduction of the noise within an image
- It works as low pass filter by making areas more continuous in their color value
- It destructs, however, edges within an image

- Edge Detection is the important process that preserves the edges and sharp capes
- It works as high pass filter that does not change high frequencies

Face Detection: Segmentation

Motivation

• Skin regions form candidate faces - detect true faces from skin regions

Identify-extract key features using FRG

• Apply Fuzzy Region Growing (FRG) Segmentation [Moghaddamzadeh- Bourbakis, 1993]



Illustrative Example :Original, Smoothed, Edged, Segmented









Fuzzy Region Growing (FRG) Segmentation

- Find big and crisp segments.
- Expand segments based on homogeneity criteria.
- Expand segments based on dichromatic reflection model.
- Expand segments based on degree of farness measure.
- Apply an iterative filter.
- Find medium size segments.
- Expand segments using homogeneity criteria and degree of farness
- Fill in blank regions
- Apply an iterative filter.

Face detection

Identify key features

• Apply Fuzzy Region Growing (FRG) Segmentation



Skin-segmented Image



FRG Segmented Image

Local Graph

Local graph [Bourbakis 1987, 2002]

- Represent the shape by a set of line segments
- Use local graph to encode spatial relationships

i. The individual properties P_j of line Ln_j ,

P_{j-} = {sp(starting point), l(length), d'(orientation), cu(curvature) }
where the index j indicates the appropriate segment.

where, the sub index *ij* means the relationship between line *i* and line *j*.

$$SH = \bigcup \{Ln_j : R_{j,j+1}^c : Ln_{j+1}\}$$

 $= Ln_{1} \cdot R_{1,2}^{c} \cdot Ln_{2} \cdot R_{2,3}^{c} \cdot Ln_{3} \cdot R_{3,4}^{c} \cdot \dots \quad Ln_{n-2} \cdot R_{n-2,n-1}^{c} \cdot Ln_{n-1} \cdot R_{n-1,n}^{c} \cdot Ln_{n}$

Chain Coding or Freeman Coding

 Chain coding encodes the position of a pixel not by its actual Cartesian coordinates, but rather by its relative position to an adjoining pixel.







Chain Coding & Line Segments



Chain Code and Line Segments

String

 $S = k_1(di)k_2(dj)k_3(dn)\dots k_r(dm)$

where di \in {1,12,2,23,3,...,78,8} represent directions, see chain code and ki \in Z

 $S = L_1 R_{12} L_2 R_{23} L_3 \dots L_n R_{n1} L_1$

where Li represents a line segment and Rij the connectivity relationship with the next line segment Rj

Line Graph Generation

$$S = L_1 R_{12}L_2 R_{23}L_3 \dots L_n R_{n1}L_1$$
$$g : L \longrightarrow G$$

where g(Li) = Ni and g(Rij) = aij

Ni = { sp, orientation, length, curvature}

Rij = { connectivity, parallelism, symmetry, etc}

Local Region graph

Example



Region local graph

Region Matching with Wavelets: Geometry Transformation

Single region matching using Wavelets (Yuan-Bourbakis 2002)

A region's border is represented as

$$\boldsymbol{f(t)} = \begin{bmatrix} \boldsymbol{x}(t) \\ \boldsymbol{y}(t) \\ \boldsymbol{1} \end{bmatrix}, t = 1, 2, \dots, m$$

The general form of 2-D geometry transformation matrix is

$$\phi = \begin{bmatrix} \phi_{1,1} & \phi_{1,2} & \phi_{1,3} \\ \phi_{2,1} & \phi_{2,2} & \phi_{2,3} \\ 0 & 0 & 1 \end{bmatrix} \qquad \phi = \begin{bmatrix} rs_{xx} & rs_{yy} & trs_{xx} \\ rs_{yx} & rs_{yx} & trs_{xx} \\ 0 & 0 & 1 \end{bmatrix}$$

 $g(t) = S \cdot M_g \cdot f(t) + T$

$$\begin{bmatrix} x'(t) \\ y'(t) \\ 1 \end{bmatrix} = \begin{bmatrix} rs_{xx} & rs_{xy} & trs_{xx} \\ rs_{yx} & rs_{yx} & trs_{xx} \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} x(t) \\ y(t) \\ 1 \end{bmatrix}$$

Region Matching: Translation

• we set the scale factors to both x and y directions are the same, i.e. $s \equiv s_x \equiv s_y$, thus.

$$g(t) = s \cdot M_{\theta} \cdot f(t) + T$$

$$F_{cen}(g(t)) = F_{cen}(s \cdot M_{\theta} \cdot f(t) + T)$$

$$= F_{cen}(s \cdot M_{\theta} \cdot f(t)) + F_{cen}(T)$$

$$= F_{cen}(f(t)) + T$$

$$\Rightarrow \qquad T = F_{cen}(g(t)) - F_{cen}(f(t))$$



Translate parameter example

Region Matching: Scale

Momentum is a measure of object's mass distribution.

$$\begin{aligned} \mathcal{M}\mathcal{O}\mathcal{M}' &= \frac{1}{N} \sum_{i=1}^{N} m_i \cdot \left\| p'_i - p'_{centroid} \right\|^2 \\ &= \frac{1}{N} \sum_{i=1}^{N} m_i \cdot \left\| s \cdot p_i - s \cdot p_{centroid} \right\|^2 \\ &= s^2 \cdot \frac{1}{N} \sum_{i=1}^{N} m_i \cdot \left\| p_i - p_{centroid} \right\|^2 \\ &= s^2 \cdot \mathcal{M}\mathcal{O}\mathcal{M} \end{aligned}$$



Scale parameter example

 $\|^2$

Region Matching: Rotation

The rotate matrix *M* is defined as

$$M = \begin{bmatrix} \cos\phi & -\sin\phi \\ \sin\phi & \cos\phi \end{bmatrix}$$

the rotated curve *f'*(*t*) is computed by

$$f'(t) = \begin{bmatrix} x(t)' \\ y(t)' \\ 1 \end{bmatrix} = M * f(t) = \begin{bmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x(t) \\ y(t) \\ 1 \end{bmatrix}$$

Open problems are

- Rotate angle
- Point correspondence

Region matching: Lips example

Single region matching using Wavelets (Yuan-Bourbakis 2002)

- Translation
- Scaling
- Rotation



Example of single region matching (model region in blue, object region in red)

Region Synthesis

Synthesis of regions (Bourbakis 1987)



Motivation

- For face detection, need to Identify the key facial regions and their spatial relationships.
- Other regions not necessary!

Region Synthesis steps

- 1. Initialize the first region which is closer to average skin color as the active skin region. The degree of closeness is calculated as the RGB color difference.
- 2. Select the next region which is closer to average skin color. Find the common edge between this region and the active region.
- 3. If a common edge is found, synthesize the current region and the active region. Assign new region to active region.
- 4. If all regions have been processed, region synthesis completes; otherwise go to step 2.

Skin region synthesis

- Merge neighbor regions into a single segment
 - Neighborhood region searching based on *skin-color* similarity
 - Find common edge using local graph

$$L_{1} = Ln_{1}R_{12}^{c}Ln_{2}R_{23}^{c}Ln_{3}R_{34}^{c}...Ln_{n-1}R_{n-1n}^{c}Ln_{n}$$

$$L_{2} = L' n_{1} R_{12}^{c} L' n_{2} R_{23}^{c} L' n_{3} R_{34}^{c} \dots L' n_{m-1} R_{m-1m}^{c} L' n_{m}$$





Region Synthesis

.Relationships among regions



$$shape(R_{12}) = \begin{cases} shape(R_1)shape(R_2), & if REL(R_1, R_2) = contiguous \\ shape(R_1), & if REL(R_1, R_2) = contain \\ shape(R_2), & if REL(R_1, R_2) = contained \\ \phi, & if REL(R_1, R_2) = separate \end{cases}$$

Skin region synthesis

Skin region removal or face-lifting

- Remove skin region Do not consider it further!
- Advantage Simplifies LG graph matching



Skin region synthesis procedure for face lifting for extracting facial features



Skin removal

Global Graph

 The Global graph is a structure that carries inter-region relations. Each node of this graph represents a region, i.e. it contains the respective local graph.

$$GG(I_p) = (P_1 R_{12} P_2) \Phi_{23} (P_1 R_{13} P_3) \dots$$
$$(P_1 R_{1n-1} P_{n-1}) \Phi_{n-1n} (P_1 R_{1n} P_n)$$

The links of this graph represent the relations between regions



Image L-G Graph Comparison

IMAGE -A Image regions and the graph of gravity



 $\begin{array}{l} G(A_{(N1)}) = (N_1 R_{12} N_2) \ \Phi_{23} \left(N_1 R_{13} N_3 \right) \ \Phi_{34} \left(N_1 R_{14} N_4 \right) \ \dots \\ \Phi_{67} \left(N_1 R_{17} N_7 \right) \ \Phi_{78} \left(N_1 R_{18} N_8 \right) \ \Phi_{81} \end{array}$

IMAGE -B Image regions and the graph of gravity

$$\begin{split} G(A_{(N1)}) &= (N_1 R_{12} N_2) \ \Phi_{23} \ (N_1 R_{13} N_3) \ \Phi_{34} \ (N_1 R_{14} N_4) \ \dots \\ & \Phi_{67} \ (N_1 R_{17} N_7) \ \Phi_{78} \ (N_1 R_{18} N_8) \ \Phi_{89} \ (N_1 R_{19} N_9) \ \Phi_{91} \end{split}$$



Comparison A and B 7/8 region relationships same 5/7 angles same

Local-Global (LG) Graph

Image representation with LG Graph (Bourbakis 1987)

- Use Delaunay Triangulation
- Graph edges hold the spatial relationships between facial features
- Graph nodes hold information about key facial features

node = {Centroid(x, y), color/texture, Local - graph(L), size, border}

 $LG = \{NodeSet, EdgeSet\}$



Model face image



Selected facial regions



Local - Global Graph view



Delaunay graph

Potential Region Correspondent Pair (PCRP)

- Node correspondence select regions based on *color similarity*
- Random graph matching is not allowed!



PCRP region selection

Graph similarity - represented by angle

- If the correspondent angles between arcs are similar, the graphs are similar
- Angular similarity

$$SIM_{LG} = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{N} E(i, j) S_{ANGSIM} (\theta_{ij} - \theta_{i0})$$

SIM_{LG} - *s*imilarity between two graphs

- angle of the edge, E(i, j)

 θ_{jj} base angle

 $heta_{i^0}$ angle similarity function $S_{ANGSIM}\left(\Delta heta
ight)$

 θ_1 θ_2 θ_2 θ_1' θ_2' θ_1' θ_2' θ_1' θ_2' θ_1' θ_1

Δ**θ**

PCRP graphs and angular similarity





LG Graph shape constraint

- Nodes are not just fiduciary points!!
- Use shape from local graph as a similarity constraint

$$SIM_{LG} = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{N} E(i, j) \cdot S_{ANGSIM} (\theta_{ij} - \theta_{i0}) \cdot Weight(i, j)$$

LG Graph relationship checking

• Relationship similarity (T_{RE}) - Contiguous, Contain, Contained, Separate

$$SIM_{REL} = \prod_{i=1}^{N} \prod_{j=1}^{N} T_{RE}(r_{i,j}, r_{i,j})$$

* LG Graph error

$$ERR_{LG} = (1 - ERR_{rel})x(ERR_{graph} + ERR_{shape})$$

Experimental results

LGG matching





















Experimental results

Performance on AR face database [Martinez & Benavante, 1998]

| | Proposed method | Chiang & Huang, 2005 | |
|------------------------------|---------------------------------------|----------------------|-------|
| | | AR | AR' |
| Number of faces | 240 (30 people *8 images / person) | 945 | 540 |
| Number of correct detections | 231 | 874 | 511 |
| Number of misses/errors | 9 | 14/66 | 4/26 |
| Recall rate | 96.25 | 92.48 | 94.68 |

Limitations

- Low-image size
- Poor-image qualities
- Profile-views (>45⁰)
- Dependence on Skin detection method

L-G Graph Expression Recognition

- LG Expression models
- Expression recognition
- Results

Basic facial expressions

- Basic six facial expressions [Ekman, 1993, Kanade et al., 2000]
 - Happy, Surprise, Sad, Anger, Disgust and Fear



Нарру



Surprise



Angry



Disgust



Sad



Fear

LG Expression models

| Features Considered Expressions | EyeBrowL (LG <u>expr_ebi</u>) | EyeBrowR (LG <u>expr_ebr</u>) | EyeL (LG _{EXPR_EL}) | EyeR (LG _{EXPR, BR}) | Mouth (LG <u>expr_M</u>) |
|---------------------------------------|--|--|----------------------------------|-----------------------------------|------------------------------|
| Happy | - | | 13 | | |
| Surprised | R | | () | | |
| Angry | and the second s | and the second s | 10 | | |
| Sad | The second second | (Jan) | 100 | | |
| Disgust | | ditter - | | A starter | |
| Fear | | | 0 | 1 | |

Expression recognition

Steps in expression recognition [Faisel & Luttin, 2003]

- Face detection
- Feature recognition
 - Nodes in LG graph
- Expression recognition
 - Construct LG expression graphs

 $node = \{Centroid(x, y), color/texture, L, size, border, LG_{EXPR1}, ..LG_{EXPRi}\}$

Features used

• Five facial features - eyes, eye-brows, mouth

LG Expression models [Kakumanu-Bourbakis, in press]

| Features Considered Expressions | EyeBrowL (LG _{EXPR_EBL}) | EyeBrowR (LG _{EXPR_EBR}) | EyeL (LG _{EXPR_EL}) | EyeR (LG _{EXPR_ER}) | $Mouth (LG_{EXPR_M})$ |
|---------------------------------------|--|---|--|----------------------------------|-----------------------|
| Neutral | | Contraction of the second s | A. Marco | Participante and a second | |
| Happy | A Contraction of the second se | Contraction of the second s | A Company and a company of the compa | All the second | |
| Angry | | | Alter and the second | 1 | |
| Scream | :///################################## | | and the second | | |

Facial Expression LG graph

Example







Model in GDB

Facial Expressions

Results





Happy = 0.72 Sadness = 0.44 Surprise = 0.77 Disgust = 0.54 Fear = 0.52 Anger = 0.29 Expression = Angry



 $\begin{array}{ll} \mathsf{Happy} &= 0.82\\ \mathsf{Sadness} &= 0.34\\ \mathsf{Surprise} &= 0.78\\ \mathsf{Disgust} &= 0.51\\ \mathsf{Fear} &= 0.53\\ \mathsf{Anger} &= 0.59\\ \textit{Expression} &= \textit{Sad} \end{array}$

Experimental results

Performance on AR database [Martinez & Benavante, 1998]

| | Neutral | Нарру | Angry | Scream |
|---------|---------|-------|-------|--------|
| Neutral | 0.875 | 0.000 | 0.125 | 0.000 |
| Нарру | 0.025 | 0.900 | 0.025 | 0.050 |
| Angry | 0.200 | 0.025 | 0.775 | 0.000 |
| Scream | 0.000 | 0.050 | 0.000 | 0.950 |

| Expression LG Graph Errors | | | | | |
|----------------------------|------------|---------|-------|-------|--------|
| | | Neutral | Нарру | Angry | Scream |
| | | | | | |
| | EyeL | 0.72 | 0.53 | 0.22 | 0.26 |
| 25 | EyeR | 0.78 | 0.52 | 0.27 | 0.28 |
| | EyeBrowL | 0.33 | 0.34 | 0.40 | 0.42 |
| | EyeBrowR | 0.37 | 0.37 | 0.39 | 0.42 |
| | Mouth | 0.85 | 0.67 | 0.82 | 0.08 |
| | Avg. Error | 0.61 | 0.49 | 0.42 | 0.29 |

Ekfrasis

- Definition: The Ekfrasis language is defined (or generated) by a grammar G {V_N, V_T, PR, S},
- where V_N, is the set of non-terminal symbols and is defined as V_N = {S, T, k, L, X}; V_T is the set of terminal symbols and is defined as V_T = ∑ U { i/i∈Z, } U {#}
- S is the starting symbol of a sentence; T is the symbol for a terminal letter; L is the symbol for the alphabet letters; ∑ is the alphabet; # is the synthesis symbol between letters of the alphabet; and PR is the set of production rules and is defined as
- PR={ S → T; S → S # T; T → L_k ; L → $L_1/L_2/L_3/L_4/L_5/L_6$; kcZ, 1≤k≤6}; and $L_ic\Sigma$,
- where $\sum = \{EBL_i, EBR_i, EL_i, ER_i, N_i, M_i (UL_i, LL_i)\}$

Definition: The Ekfrasis language (L_{EF}) is defined over the G grammar as follows: L_{EF} (G) = { Le_i/Le_i , V_T : S_G→ Le_i }

Expression recognition (II)

LG Expression graphs

- LG expression graphs for each expression to be recognized
- Expression graph matching



SPN



It shows a sequence of facial features (mouth) L-G graphs represent letters from the Ekfrasis language. In this case, individual letters of the alphabet can be used to associate emotional patterns



It shows the SPN association of the L-G graph of the facial features (mouth) extracted and represented from different image frames, and their activation via token (orange, green color. This case is a sequence of facial expressions related with happiness and laughter).Thus, the color token could be a joke or a happy thought or else.

SPN



It shows the transition from the neutral into angry

SPN



SPN transitions



It graphically shows :a) The transition from a facial expression (neutral state) into the next facial one (happy state);

b) The order of transitions of facial expressions (from a "state" into another "state") that take place for the most frequently used facial expressions (neutral, happy, angry, scream);

c)Frames of expressions showing the sequence of transitions in facial expressions.

Applications (II)

Biometrics

Identification & Authentication





Face Verification

Multibiometrics - voice, face, and fingerprint recognition

Applications to People with Disabilities

Emotional Behavior of People with Disabilities

(collaboration with Dr. Esposito, 2003)

- Hearing Impaired Individuals (Koufos project)
- Mentally Retarded Individuals (Anapiros project)
- Visually impaired individuals (Tyflos project)



Summary

Face Detection LG graph Method

LG graph matching

- spatial (graph), shape and relation constraints
- Synthesis of regions
- Compact representation local (facial features) and global (topology) info
- Invariant to translation, rotation and scale (to an extent)

Facial Expression Detection LG graph Method

Applications

Thank you for your patience **QUESTIONS?**